

## Appendix 2 Status of Audit work brought forward from the 2022/23 financial year

Audit Title	Audit Period	Audit Type	Audit Status 31/3/2023	Audit Status 30/9/2023	Compliance with Controls
EXT 17C-LC29PS - WHQS Scheme 9-20 Stanley Drive Contract Final Account updated	Mar-23	Contract Audit	Audit Work complete and draft report issued	Audit Closed and final report issued	Compliant
Starters and Recruitment Payroll -starters	Nov-22	System Audit	Audit Work complete and draft report issued	Audit Closed and final report issued	Effective
Purchase Card - Cardholder Testing @15 Audits	Sep-22	System Audit	Audit Work complete and draft report issued	Audit Closed and final reports issued	Effective
Abercarn Primary SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work complete and draft report issued	Chasing final report	Effective
Cwrt Rawlin Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective
The Twyn Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work in progress	Audit Closed and final report issued	Effective
Ysgol y Lawnt SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective
Purchase Card User Administration 73 Audit visits	Sep-22	System Audit	Audit Work complete and draft reports issued	Audit Closed and final reports issued	Effective with opportunity to improve
Purchase Card Authoriser Administration @62 Audits	Sep-22	System Audit	Audit Work complete and draft reports issued	Audit Closed and final reports issued	Effective with opportunity to improve

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HR Payroll Employee Existence Payroll - existence, duplicated posts, and ghost employees	Oct-22	System Audit	Audit Work complete and draft report issued	Chasing final report	Effective with opportunity to improve
Purchase Card - Cardholder @14 Audits	Sep-22	System Audit	Audit Work complete and draft reports issued	Audit Closed and final reports issued	Effective with opportunity to improve
Bryn Primary School Full Audit	Nov-22	Establishment Audit	Audit Work complete and draft report issued	Audit Closed and final report issued	Effective with opportunity to improve
Payroll Leavers Payroll - leavers	Sep-22	System Audit	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Nant y Parc Primary SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective with opportunity to improve
Plas y felin Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective with opportunity to improve
YGG Caerffili SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective with opportunity to improve
Idris Davies School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective with opportunity to improve
St Cenydd Comprehensive School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	Effective with opportunity to improve

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Lewis Girls School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work complete and draft report issued	Audit Closed and final report issued	Effective with opportunity to improve
Management of Temporary ID cards Temporary ID cards	Sep-22	Regularity	Audit Started	Audit Work In progress	In need of improvement
Ty Newydd Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Work In progress	Audit Closed and final report issued	In need of improvement
Petty Cash User admin	Sep-22	SAQ Control Risk Self-Assessment	Audit Work complete and draft report issued	Audit Closed and final report issued	Inadequate and in need of immediate improvement
Tyn y Wern Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Inadequate and in need of immediate improvement
Homelessness Audit 2022-23 Homelessness VFM Audit	Jan-23	VFM	Audit Started	Audit Closed and final report issued	N/A
Administration Additional Fuel scheme payments Consultancy	Mar-23	Consultancy Review	In progress	Work complete	N/A
Hengoed Primary School Full Audit	Feb-23	Establishment Audit	Audit Started	Audit Work complete and draft report issued	Effective with opportunity to improve
Lewis School Pengam Full Audit	Mar-23	Establishment Audit	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Fochriw Primary SAQ plus 2023/23 Schools 2022 - Control Risk Self-Assessment	Nov-22	SAQ plus	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve

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YG Bro Allta SAQ plus 2022/23 Schools 2022 - Control Risk Self- Assessment	Nov-22	SAQ plus	Audit Started	Audit Closed and final report issued	Effective
YG Cwm Rymni SAQ plus 2022/23 Schools 2022 - Control Risk Self- Assessment	Nov-22	SAQ plus	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
St Martins SAQ plus 2022/23 Schools 2022 - Control Risk Self-Assessment	Nov-22	SAQ plus	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Cwmaber Infants School 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self- Assessment	Audit Started	Audit Closed and final report issued	Effective
Cwmaber Junior School SAQ 2022/23 Schools 2022 - Control Risk Self- Assessment	Sep-22	SAQ Control Risk Self- Assessment	Audit Started	Audit Closed and final report issued	Effective
Cwmcarn Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self- Assessment	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Gilfach Fargoed SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self- Assessment	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Glyngaer Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self- Assessment	Audit Started	Audit Closed and final report issued	Effective
Hendredenny Park Primary School SAQ 2022/23 Schools 2022 - Control Risk Self- Assessment	Dec-22	SAQ Control Risk Self- Assessment	Audit Started	Audit Closed and final report issued	Effective

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Machen Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Pantside Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective
Park Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Trinity Fields School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective
Ty Isaf Primary School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	In need of improvement
Ysgol Ifor Bach SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective
Blackwood Comprehensive School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective with opportunity to improve
Islwyn High School SAQ 2022/23 Schools 2022 - Control Risk Self-Assessment	Dec-22	SAQ Control Risk Self-Assessment	Audit Started	Audit Closed and final report issued	Effective